ASSISTANT COMMISSIONER FOR PATENTS BOX PATENT APPLICATION Washington, D.C. 20231

CASE DOCKET NO. YOR 920010359US1 August 16, 2001 Date:

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Transmitted herewith for filing is the Patent Application of: Inventors: Jarir K. Chaar, David A. George, C. Steven Lingafelt, Kiyoshi Maruyama, Mark Mei

ASSIGNEE NAME: International Business Machine Corporation

ASSIGNEE RESIDENCE: Armonk, New York

For: System & Method for Protecting a TCP Connection Serving System

From High-Volume TCP Connection Requests

Enclosed are:

Five (5) Sheets of informal Drawings. __X

An Assignment of the invention to International Business Machines Corporation, Armonk, New York 10504. (signed)

___ Patent Application No. Certified copy of ___

Declaration and Power of Attorney is attached to the application. (signed)

Associate Power of Attorney.

Information Disclosure Statement with form PTO-1449 with references

attached.

The filing fee has been calculated as shown below:

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Respectfully submitted,

Druggone auron Attorney: Lauren Bryzzone Registration No.:35,082

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ExpMailCert:EL894234984US DateofDeposit: Aug 16, 2001

IBM CORPORATION INTELLECTUAL PROPERTY LAW DEPT. P.O. BOX 218 YORKTOWN HEIGHTS, NY 10598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Chaar et al

Serial No: to be assigned

Filed: herewith

Group No: unassigned

Examiner: unassigned

For: System & Method for Protecting a TCP Connection Serving

System from High-Volume TCP Connection Requests

Assistant Commissioner of Patent and Trademarks Washington, DC 20231

EXPRESS MAIL CERTIFICATION

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I hereby certify that attached paper(s) or fee(s):

Patent Application

Patent Fee Transmittal Form (Original + one (1) copy)

Declaration & Power of Attorney (signed)

Assignment (signed)

Recordation Form Cover Sheet (Original + one (1) copy)

Five (5) Sheets of Drawings (informal)

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